

	<p style="text-align: center;">Audit and Standards Advisory Committee 26 September 2019</p> <p style="text-align: center;">Report from the Director of Legal, HR, Audit and Investigations</p>
<p style="text-align: center;">Update on Audit and Standards Advisory Committee's self-assessment exercise</p>	

Wards Affected:	N/A
Key or Non-Key Decision:	N/A
Open or Part/Fully Exempt: (If exempt, please highlight relevant paragraph of Part 1, Schedule 12A of 1972 Local Government Act)	Open
No. of Appendices:	NIL
Background Papers:	None
Contact Officer(s): (Name, Title, Contact Details)	Michael Bradley Head of Audit and Investigations 020 8937 6526, Michael.Bradley@brent.gov.uk

1. Summary

- 1.1. This report provides a short update on progress against the agreed actions resulting from the Committee's self-assessment exercise in March 2019.

2. Recommendations

- 2.1. The Audit Committee note the content of the report.

3. Background

- 3.1. Members of the Audit and standards Advisory Committee engaged in a self-assessment workshop after their scheduled meeting on 24th April 2019.
- 3.2. The session was framed around the key elements of CIPFA's guidance on the effective working of an Audit Committee. These elements were:
- *'Audit' and 'Standards' Committees working together;*

- *Promoting the principles of good governance and their application to decision making;*
- *Supporting the establishment of arrangements for managing risk, including reporting and obtaining assurance;*
- *Supporting internal audit activity: planning, reporting and recommendation follow up, and*
- *Aiding the achievement of the organisation's goals and objectives through helping to ensure appropriate governance, risk, control and assurance arrangements.*

3.3. The session was well attended and covered a range of issues. Actions were agreed in three main areas. They are listed below with a brief update on actions taken. A detailed report is planned for 20th January 20102:

- *Liaison with the Scrutiny function;*

Officers have assessed how the Committees' respective roles complement each other. Further work is being undertaken to explore how their work programmes can be synchronised.

- *Risk Management, and*

ASAC's consideration of the Corporate Risk framework will be reviewed after the most recent iteration of the corporate risk register is assessed by senior officers and Cabinet members and ASAC in September 2019.

- *ASAC constitution, conduct and skills.*

Officers will liaise with the Chair and Vice Chair of ASAC to consider the actions in this area. A meeting has already taken place to consider attendance at member development sessions

4. Financial Implications

The report is for noting and so there are no direct financial implications

5. Legal Implications

The report is for noting and so there are no direct legal implications

6. Diversity Implications

None

7. Background Papers

None

8. Contact Officer Details

Michael Bradley, Head of Audit and Investigations, 7th floor, Brent Civic Centre
Telephone – 0208 937 6526